

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 12-97)		DATE: July 26, 2001	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 53852US013	
INTERNATIONAL APPLICATION NO. PCT/US99/01818		U.S. APPLICATION NO. 09/890482 (if known; see 37 C.F.R. 1.5)	
INTERNATIONAL FILING DATE 28 January 1999 (28.01.99)		PRIORITY DATE CLAIMED 28 January 1998 (28.01.98)	
TITLE OF INVENTION INFRARED INTERFERENCE FILTER			
APPLICANT(S) FOR DO/EO/US OUDERKIRK, Andrew J., HARADA, Takashi, ISHIKAWA, Makoto, YODA, Akira, and NAKAMURA, Tatsuya			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
Items 11. to 16. below concern other document(s) or information included:			
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Associate Power of Attorney, unexecuted Declaration, Power of Attorney and Petition, Petition to Revive, and Marked up Amendments as Required by 37 CFR 1.121</p>			

Certificate of Express Mailing

Under 37 CFR 1.10, I certify that this entry of a PCT application into US National Stage is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Express Mail Mailing Label No.

EL597086218US

Date of Deposit

July 26, 2001

Signature of Person Mailing Application

Shannon M. Bruce

Printed Name of Person Mailing Application

Shannon M. Bruce

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 99/890482		INTERNATIONAL APPLICATION NO.:PCT/US99/01818		ATTORNEY'S DOCKET NUMBER 53852US013	
17. <input checked="" type="checkbox"/> The following fees are submitted:				Calculations	PTO Use Only
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
<input checked="" type="checkbox"/>	Search Report has been prepared by the EPO or JPO	\$860			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) ..	\$690			
<input type="checkbox"/>	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (a)(2))	\$710			
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$1,000			
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....	\$100			
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$ 130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 0			
Claims	Number Filed	Number Extra	Rate		
Total Claims	27 -20 =	= 7	x \$ 18	\$ 126	
Independent Claims	4 -3=	= 1	x \$ 80	\$ 80	
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)		x \$ 270	\$ 0		
TOTAL OF ABOVE CALCULATION		\$ 1,066			
Reduction by ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)		\$			
SUB TOTAL =		\$ 1,066			
Processing fee of \$ 130 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).		\$ 0			
TOTAL NATIONAL FEE		\$ 1066			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$			
TOTAL FEES ENCLOSED =		\$			
		Amount to be refunded	\$		
		charged	\$ 1,066		
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input checked="" type="checkbox"/>	Please charge my Deposit Account No. 13-3723 in the amount of \$ 1066.00 to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3723. A duplicate copy of this sheet is enclosed				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					

Send All Correspondence To:

Signature 

Name:

Bruce E. Black

Registration Number:

41,622

Address:

Office of Intellectual Property Counsel
 3M Innovative Properties Company
 P.O. Box 33427
 St. Paul, Minnesota 55133-3427